

Band Gap Narrowing by Suppressed Lone-Pair Activity of Bi³⁺

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ABSTRACT: Post-transition metal cations with a lone pair (ns^2np^0) electronic configuration such as Pb²⁺ and Bi³⁺ are important components of materials for solar-to-energy conversion. As in molecules like NH₃, the lone pair is often stereochemically active in crystals, associated with distorted coordination environments of these cations. In the present study, we demonstrate that suppressed lone pair stereochemical activity can be used as a tool to enhance visible light absorption. Based on an orbital interaction model, we predict that a centrosymmetric environment of the cations limits the orbital interactions with anions, deactivates the lone pair, and narrows the band gap. A high-symmetry Bi³⁺ site is realized by isovalent substitutions with Y³⁺ by considering its similar ionic radius and absence of a lone pair. The quaternary photocatalyst Bi₂YO₄X is singled out as a candidate for Bi substitution from a survey of the coordination environments in Y–O compounds. The introduction of Bi³⁺ to the undistorted Y³⁺ site in Bi₂YO₄X results in a narrowed band gap, as predicted theoretically and confirmed experimentally. The orbital interaction controlled by site symmetry engineering offers a pathway for the further development of post-transition metal compounds for optoelectronic applications.

Semiconductors containing post-transition metal cations with a lone pair (ns^2np^0) electronic configuration (Sn²⁺, Sb³⁺, Pb²⁺, Bi³⁺) are a special class of photoabsorbers in solar-to-energy conversion systems.^{1–4} The filled s orbitals interact with anion orbitals to form the upper valence band, providing unique optoelectronic properties such as defect tolerance,⁵ reduced hole effective mass,^{6,7} and shallow ionization potentials. These effects have led to promising photo(electro)-catalysts such as BiVO₄,^{8,9} Bi₄NbO₈Cl,^{10,11} and Pb₂Ti₂O_{5,4}F_{1,2}.¹²

The orbital interactions in these materials can be described within the revised lone-pair model,¹ which explains the asymmetric coordination environment of these cations in many crystal structures.^{13–18} The metal s–anion p interactions create filled bonding and antibonding combinations as illustrated in Figure 1. The antibonding combination further interacts with the empty metal p orbitals.

The extent of the metal p orbital mixing is influenced by the coordination environment of the cation. At an asymmetric site, the mixing is favorable as shown in Figure 1a, providing the stereochemically active lone pair. The distorted cation coordination environments stabilize the valence band maximum (VBM) and enlarge band gap as seen in δ -Bi₂O₃^{19,20} and SnWO₄.²¹ Conversely, we posit that when a lone pair cation is placed at a high symmetry site, the band gap will be narrowed due to suppressed metal p coupling (Figure 1b). One approach to achieve a higher symmetry environment is through external force. Balic-Zunic et al. studied the effect of pressure on the crystal structure of Bi₂S₃, showing that high pressure provides a more symmetric coordination environment of Bi³⁺.²² A subsequent theoretical study by Olsen et al. revealed that the symmetry increase is accompanied by a reduced band gap of Bi₂S₃.²³ However, high-pressure is impractical for solar-to-chemical conversion systems. Here, we demonstrate that a high

symmetry Bi³⁺ can be realized under ambient conditions, which results in a significant reduction of the band gap.

We focus on yttrium because Y³⁺ has a similar ionic radius (1.02 Å) to Bi³⁺ (1.17 Å)²⁴ but without the valence s² electrons causing the structural distortion. From the Materials Project database,²⁵ we searched for metal oxide crystals with Y³⁺ in a highly symmetric site and then investigated the effect of the Bi³⁺ introduction to the Y³⁺ site. We analyzed the coordination environments of Y³⁺ based on the Voronoi approach and the Continuous Symmetry Measure (CSM), where the environment is expressed as the most similar model polyhedron with the CSM value.²⁶ The CSM ranges from 0 (perfect polyhedron) to 100 (highly deformed). Undistorted Y³⁺ with small CSM values were found in the 6-fold octahedral (O:6) and 8-fold cubic (C:8) environments (Figure S1).

For O:6, the double perovskites Ba₂YMO₆ (M = Nb, Ta, Sb) provide regular environments (CSM = 0). The effect of Bi³⁺ substitution onto the Y³⁺ sites was investigated based on density functional theory (DFT) calculation within generalized gradient approximation. The band gaps are substantially reduced in each case (Figure S2) by 0.6–1.9 eV. The negligible Bi 6p but strong Bi 6s contributions to the VBM are consistent with our model (Figure 1b). The Bi³⁺-based materials in the database were also analyzed (Figure S3), where the Bi³⁺ environment in Ba₂BiMO₆ (M = Sb, Ta) is slightly more distorted (CSM = 0.02, 0.03) than the Y³⁺ in the corresponding Y compound. The most distorted Bi³⁺ is accompanied by the strong Bi 6p contribution to the upper

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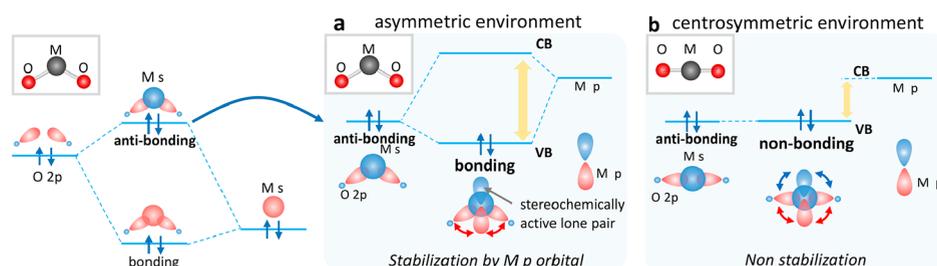


Figure 1. Illustration of the band-edge orbital interactions in lone pair containing metal oxides. The metal (M) s–anion (O) p interactions create filled bonding and antibonding combinations. (a) When the coordination environment of the cation is asymmetric, the antibonding combination is stabilized by M p coupling to form the upper valence band (VB). This results in a stereochemically active lone pair due to the M p orbital contribution to the upper VB. (b) When the coordination environment of the cation is centrosymmetric, this orbital interaction is suppressed due to destructive interference, which results in a narrower band gap. In this case, the antibonding combination between M s–O 2p mainly forms the upper VB without M p orbital contribution.

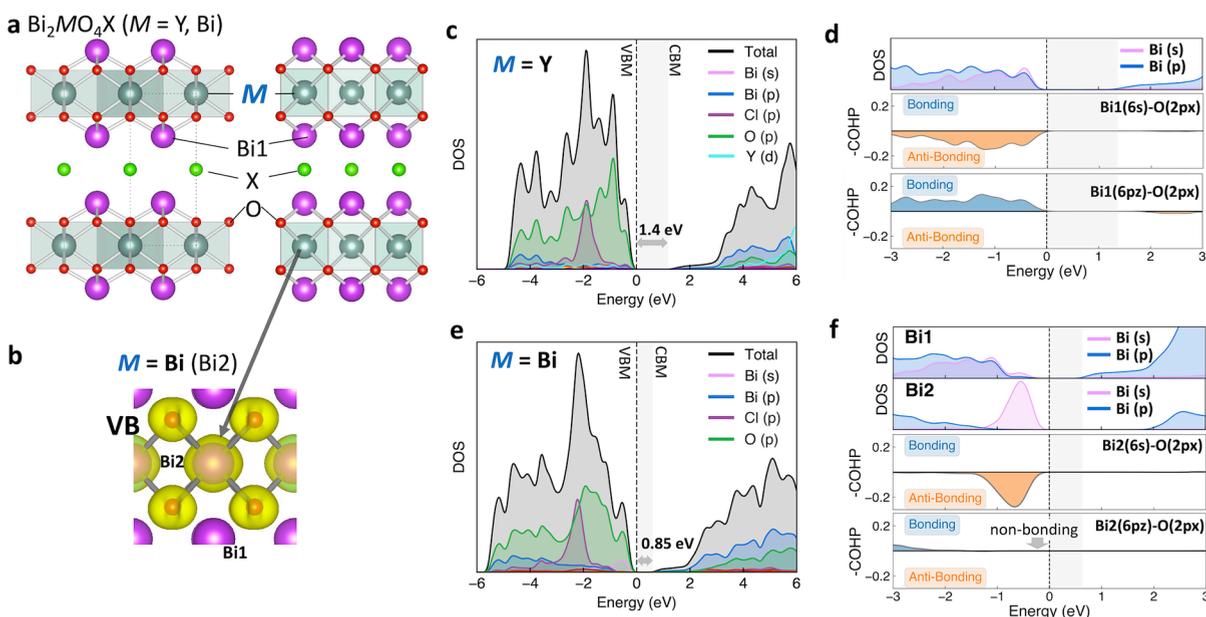


Figure 2. (a) Crystal structure of $\text{Bi}_2\text{YO}_4\text{X}$. (b) Charge density distribution of the upper part of the valence band (VB) for $\text{Bi}_2\text{MO}_4\text{Cl}$ with $M = \text{Bi}$. The Bi at the M site is described as Bi2, while the Bi site in original $\text{Bi}_2\text{YO}_4\text{X}$ is described as Bi1. Projected electronic density of states (DOS) of $\text{Bi}_2\text{MO}_4\text{Cl}$ with $M =$ (c, d) Y and (e, f) $M = \text{Bi}$ with crystal orbital Hamiltonian populations (COHP) for Bi1 (Bi2) and O 2p. The highest occupied state (Fermi level) is set to 0 eV.

valence band (Figure S4) consistent with the orbital interaction model in Figure 1a. Note that a similar tendency can be observed for bivalent Pb^{2+} (Figure S5).

For C:8, the layered oxyhalides $\text{Bi}_2\text{YO}_4\text{X}$ ($X = \text{Cl}, \text{Br}, \text{I}$; Figure 2a) show regular environments ($\text{CSM} = 0$).²⁷ The Bi introduction exerts a similar influence on their electronic structure with a band gap reduction of 0.3–0.6 eV. Note that no crystal structure was found with the CSM close to 0 in the C:8 environment of Bi^{3+} , showing that Bi^{3+} at an undistorted C:8 environment is rare (Figure S6).

We selected $\text{Bi}_2\text{YO}_4\text{X}$ ($X = \text{Cl}, \text{I}$) which features a cubic Y^{3+} site as a target for Bi^{3+} introduction. The oxychloride has been reported as a water-splitting photocatalyst.²⁸ In $\text{Bi}_2\text{YO}_4\text{Cl}$, Bi1 contributes to the VBM (Figure 2c, d), in the manner expected for a stereochemically active lone pair (Figure 1a). The interactions between Bi1 and O 2p were confirmed by Crystal Orbital Hamiltonian Population (COHP) analysis (Figure 2d).²⁹

The introduction of excess Bi on the Y site (i.e., forming $\text{Bi}_2\text{BiO}_4\text{Cl}$) significantly reduced the calculated band gap from

1.4 to 0.9 eV. The new VBM is derived from the 6s orbital of cubic Bi2. The s-orbital character around Bi2 is seen in the VBM electron density (Figure 2e, f). The COHP analysis shows the antibonding character between Bi2 6s and O 2p and nonbonding between Bi2 6p and O 2p (Figure 2f). The high-symmetry Bi^{3+} narrows the band gap by restricting the Bi6p participation to the valence band (Figure 1b). A similar tendency was observed for $\text{Bi}_2\text{YO}_4\text{I}$ (Figure S7). It is noted that the Bi introduction to Y site negligibly affects the CBM nature because the conduction band is derived from the highly dispersive interlayer Bi1–Bi1 interaction but not from the Bi2 (Figure S8).³⁰

The Bi introduction to the Y site affects other physical properties. The hole effective mass is enlarged especially in the out-of-plane direction (c axis) owing to the nonbonding character of the Bi2 6s orbital, while the electron effective mass is hardly affected as stated above (Table S1). The nonbonding character of Bi2 6s orbital also provides a relatively flat phonon band related to the isolated motion of Bi2; however, no imaginary modes are found (Figure S9). The Born effective

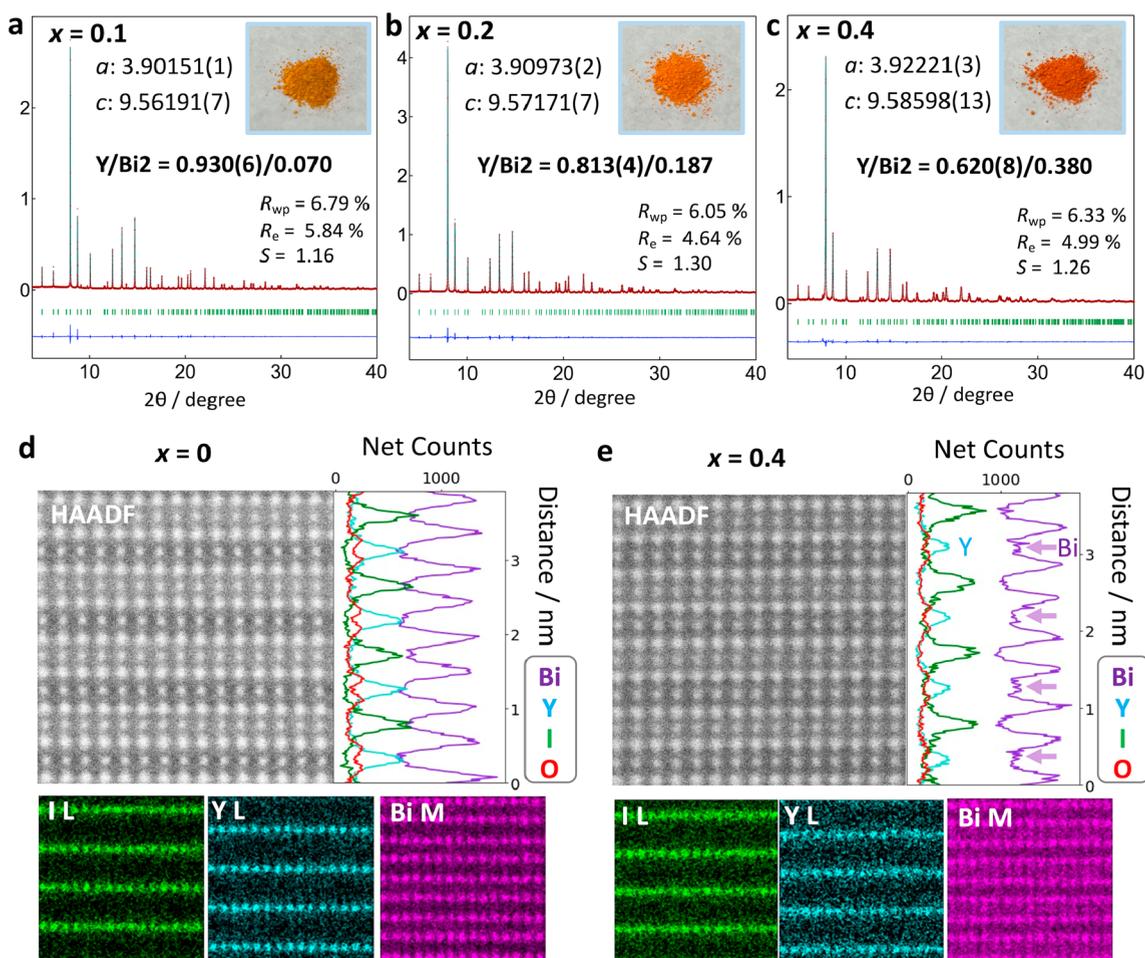


Figure 3. SXR D patterns and the Rietveld refinement profiles and product color of $\text{Bi}_2\text{Bi}_x\text{Y}_{1-x}\text{O}_4\text{I}$ ($x =$ (a) 0.1, (b) 0.2, (c) 0.4). The structure model is based on $\text{Bi}_2\text{YO}_4\text{I}$ ($P4/mmm$).²⁷ HAADF images of $\text{Bi}_2\text{Bi}_x\text{Y}_{1-x}\text{O}_4\text{I}$ ($x =$ (d) 0, (e) 0.4) along the $[110]_t$ direction with STEM-EDX line scan analysis along the $[001]$ direction and atomic resolution elemental maps for Y (light-blue), I (light-green), Bi (purple). Bi peaks can be observed around Y site in the doped sample (e).

mass of Bi2 is also more symmetric; the larger average value of Bi2 (4.8) than Y (4.2) is typical of high-polarizability lone pair cations. This will enhance the dielectric screening, which can be an advantage for charge carrier dynamics (Table S2).³¹

We experimentally introduced Bi to the Y site in $\text{Bi}_2\text{YO}_4\text{X}$. The Bi excess compounds, $\text{Bi}_2\text{Bi}_x\text{Y}_{1-x}\text{O}_4\text{X}$, were synthesized by solid-state reaction. Doping above $x = 0.5$ for $\text{X} = \text{Cl}$ and $x = 0.4$ for $\text{X} = \text{I}$ provided impurity phases such as $\text{Bi}_3\text{O}_4\text{Cl}$ and $\text{Bi}_4\text{O}_5\text{I}_2$ whose Bi site is asymmetric (Figure S10). Calculations within the quasi-harmonic approximation suggest that a dynamic instability emerges for expanded volumes at high temperatures (Figure S11). This suggests that low-temperature processing may increase the solubility of excess Bi.

Rietveld analysis of synchrotron X-ray diffraction (SXR D) patterns of the synthesized $\text{Bi}_2\text{Bi}_x\text{Y}_{1-x}\text{O}_4\text{X}$ ($x = 0, 0.2, 0.4, 0.5$ for $\text{X} = \text{Cl}$, $x = 0, 0.1, 0.2, 0.4$ for $\text{X} = \text{I}$) shows the Y/Bi ratios at Y site (Bi2) consistent with x values, confirming the successful doping of Bi to the Y site (Figures 3a–c, S12, S13). The Bi-incorporation at the Y site was also supported by HAADF-EDX analysis with an increased Bi count at the Y site (Figure 3d,e) and SEM-EDX mapping (Figures S14, S15). Note that the cell volume was expanded with increasing x value because of the larger ionic radius of Bi^{3+} (Figure S16).

The Bi introduced into the Y site significantly narrows the band gap (Figure 4), accompanied by a newly formed density

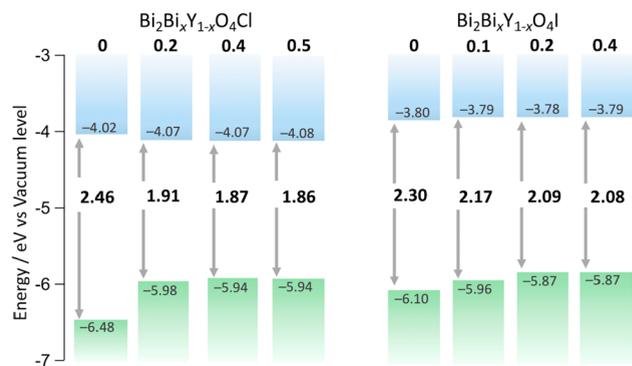


Figure 4. Band edge positions of $\text{Bi}_2\text{Bi}_x\text{Y}_{1-x}\text{O}_4\text{X}$ ($x = 0, 0.2, 0.4, 0.5$ for $\text{X} = \text{Cl}$, $x = 0, 0.1, 0.2, 0.4$ for $\text{X} = \text{I}$). The lowest ionization energies obtained via photoelectron yield spectroscopy (PYS) are assumed to the valence band maximum.

of states increasing with the increased Bi ratio (Figure S17), and a change in the color of the powder (Figure 3a–c). Though a similar band gap narrowing was reported for the oxychloride system, the underlying mechanism remains elusive.³² The band edge positions were estimated based on the lowest ionization energy obtained by photoelectron yield spectroscopy (PYS). The VBM increases with Bi doping to the

Y site (Figure 4). In $\text{Bi}_2\text{YO}_4\text{X}$ ($x = 0$), the excess Bi causes a negative VBM shift, which is more significant in the oxychloride than the oxyiodide. For $x = 0$, the oxyiodide shows a narrower band gap than the chloride owing to the I 5p contribution to the VBM, while O 2p mainly contributes to the VBM of the chloride. In other words, in the oxyiodide, I 5p on the VBM of $x = 0$ mitigates the observed VBM shift by Bi introduction to Y, while, in the oxychloride, the effect of the change in Bi coordination environment from asymmetric (Bi1) to symmetric (Bi2) on the VBM shift can be seen. On the other hand, the CBM remains weakly affected because the conduction bands are derived from the interlayer Bi1–Bi1 interaction, whose highly dispersive nature overwhelms the contribution of the Bi2 6p to the CBM as shown in the density of states in Figure 2. The lower conduction bands of the iodides than the chlorides are derived from the larger Bi1–Bi1 distance, which results in the narrowed conduction band width.^{30,33}

We have demonstrated the connection between site symmetry, orbital interactions, and band gap in lone-pair-containing compounds. The predicted effects of high-symmetry Bi^{3+} sites were validated from synthetic experiments on $\text{Bi}_2\text{YO}_4\text{X}$ with additional Bi substituted on the Y sites. This cation substitution approach can be extended to other combinations such as Sc/Sb, Ca/Sn, and Sr/Pb. Lone pair engineering offers a strategy for controlling the optoelectronic structure of the post-transition metal compounds beyond the limits of known materials.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.4c00150>.

Experimental section, additional characterization, theoretical calculation analysis (Figures S1–S17) (PDF)

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Notes

The authors declare no competing financial interest.

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